

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,030	04/30/2001	George Atkinson	52685.2USPT

CONFIRMATION NO. 4160

24238
JENKENS & GILCHRIST, A PROFESSIONAL CORPORATION
1100 LOUISIANA
SUITE 1800
HOUSTON, TX 77002-5214

F
OC000000006225101

Date Mailed: 06/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

ET
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/29/2001 SSESHEI 00000003 09046030
710.00 00
130.00 00
01 FC:101
02 FC:105



PATENT APPLICATION
Attorney Docket No. 52685.2USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Atkinson

Serial No.: 09/846,030

Filed: April 30, 2001

For: Exhaust Gas Cooler

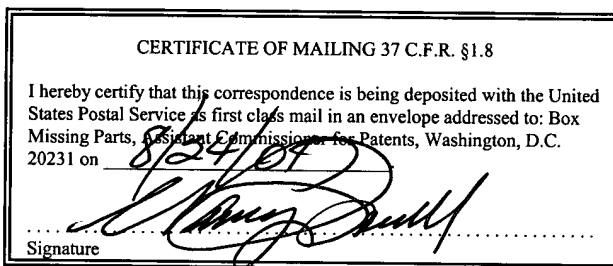
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Group No.: 1764

Examiner:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:



**RESPONSE TO NOTICE TO FILE MISSING PARTS
AND REQUEST FOR FILING RECEIPT CORRECTION**

Pursuant to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed June 26, 2001, Applicants file herewith the following documents:

- ☒ Copy of Notice To File Missing Parts of Application;
- ☒ Check in the amount of \$840.00 which includes the filing fee of \$710.00 and the surcharge for late submission for Declaration of \$130.00;
- ☒ Executed Declaration and Power of Attorney by Assignee;
- ☒ Postcard.

At the time of filing it was unclear as to whether the Applicant was considered a small entity. It has since been determined that the Applicant is not entitled to small entity status and, therefore, the filing fees and surcharge for late submission for Declaration has been paid at the large entity rate. Please correct the filing receipt to reflect the large entity status of the Applicant. A marked-up copy of the Filing Receipt is enclosed for your convenience.

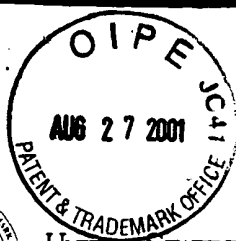
The Assistant Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account 10-0447, reference 52685.2USPT(BAI).

Respectfully submitted,

JENKENS & GILCHRIST
A Professional Corporation

J. Benjamin Bai
J. Benjamin Bai, Ph.D.
Reg. No. 43,481

Date: 8/24/2001
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/846,030	04/30/2001	1764	0.00	52685.2USPT	3	13	1

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JENKENS & GILCHRIST, A PROFESSIONAL CORPORATION
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HOUSTON, TX 77002-5214

CONFIRMATION NO. 4160

FILING RECEIPT

OC000000006225829

Date Mailed: 06/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

George Atkinson, Solihull, UNITED KINGDOM;

Assignment For Published Patent Application

Serck Heat Transfer Limited, Birmingham, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/GB99/03581 10/29/1999

Foreign Applications

UNITED KINGDOM 9823669.8 10/30/1998

If Required, Foreign Filing License Granted 06/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

****SMALL ENTITY****

Title

DOCKETED
<i>filing receipt</i>
Reviewed By <i>ay</i>